Amendment and Compilation of Chapter 11-157
Hawaii Administrative Rules
September 5, 2018

1. Chapter 11-157, Hawaii Administrative Rules, entitled "Examination and Immunization", is amended and compiled to read as follows:

"HAWAII ADMINISTRATIVE RULES

TITLE 11

DEPARTMENT OF HEALTH

CHAPTER 157

EXAMINATION AND IMMUNIZATION

§11-157-1 Purpose
§11-157-2 Definitions
§11-157-3 Immunization
§11-157-3.05 Documentation of immunizations
§11-157-3.1 Responsibility
§11-157-3.2 Tuberculosis clearance requirements
§11-157-4 Performance of immunization; records
§11-157-4.1 Immunization of indigents and other persons
§11-157-5 Exemptions
§11-157-6 Repealed
§11-157-6.1 Health examination requirements
§11-157-6.2 Provisional attendance
§11-157-6.3 Notice of exclusion and exclusion
§11-157-6.4 School, post-secondary school, and child care facility reporting and records
§11-157-7 Penalties and remedies
§11-157-7.1 Suspension and revocation; exclusion
§11-157-8 Severability

§11-157-2 Definitions. As used in this chapter: "Attend" or "attendance" means a student or child is physically present at school, post-secondary school, or child care facility after admission or enrollment.

["Certificate of TB examination" means a dated report of a tuberculosis examination including the information specified in subsections 11-157-3.2(e) to (e), on the stationery of a practitioner or the form of a health facility, health department, or school system, with the signature of a practitioner or a unique stamp of the practitioner, the licensed facility at which the practitioner practices, or the department.]

"Child" or "children" means a minor or minors who attend a child care facility in the State. Any child who attends a child care facility who is physically present for any amount of time at a child care facility shall comply with this chapter.

"Child care facility" has the same meaning as defined in section 346-151, HRS.
"Communicable tuberculosis" means tuberculosis in any form considered by the department to represent a risk of being transmitted to other individuals.

"Department" means the department of health of the State of Hawaii, or any authorized officer or agent of the department[.] of health.

"Director" means the director of health of the State of Hawaii or a duly authorized agent.

"Epidemic" means the occurrence in a community or region of an illness clearly in excess of normal expectancy, as determined by the department.

"Grace period" means the four day period prior to minimum required ages or intervals during which an immunization may still be considered valid.

"Immunization" means the process of administering a [specific] vaccine, toxoid, or other substance licensed by the United States Food and Drug Administration to promote an immune response, including antibody production[.], in conformance with recognized standard medical practices.

"Immunizing agent" means a vaccine, toxoid, or other substance licensed by the United States Food and Drug Administration used to increase an individual's immunity to a disease.

"Mantoux tuberculin test" means an intradermal injection of five tuberculin units of Purified Protein Derivative in 0.1 cc of sterile diluent, followed within forty-eight to seventy-two hours by recording of the palpable induration, with a positive reaction being 10 mm or greater in its transverse diameter.

"Outbreak" means the occurrence in a community or region of an illness clearly in excess of normal expectancy, as determined by the department.

"Physician" means a person licensed to practice medicine [or], osteopathic medicine, or naturopathic medicine in any of the states or territories of the United States. A person whose license is on inactive status or who is not actively practicing shall not be
§11-157-2
deemed to be a physician for purposes of this chapter. Licensure or accreditation in chiropractic, homeopathy, acupuncture, or herbal healing [does not qualify a person as a physician in this chapter.]

"Post-secondary school" means any [adult education school, business school, trade school, community college, college or university, or any school enrolling or registering students above the age of compulsory school attendance.]

"Practitioner" means a physician, advanced practice registered nurse, or physician assistant licensed to practice in any of the states or territories of the United States. A physician, advanced practice registered nurse, or physician assistant whose license is on inactive status or who is not actively practicing shall not be deemed to be a practitioner for the purposes of this chapter.

"Recognized standard medical practices" means in accordance with the United States Department of Health and Human Services', Advisory Committee on Immunization Practices (ACIP), General Best Practice Guidelines for Immunization, and future amendments that are adopted by the department.

"School" means [any child care center, preschool, day care center, day nursery, Head Start program, group child care home, kindergarten, elementary, intermediate, middle, or secondary school, but excludes after-school programs, family child care, parent cooperatives, play groups, respite programs, and drop-in child care centers.] a congregate setting for educational purposes, for example, kindergarten, elementary, intermediate, middle, or secondary school.

"Student" means any [child] minor or adult [enrolled in] attending any school or post-secondary school in the State. Any student who attends a school or post-secondary school who is required to be physically present for any amount of time at school or post-secondary school shall comply with this chapter.
§11-157-3

Immunization. (a) Immunizations against certain specified vaccine preventable diseases, including minimum spacing between doses, and other conditions governing acceptability of immunizations, are required as set forth in the following exhibits:

Exhibit A, ["Guide to Hawaii Pediatric Immunization Requirements (July 1, 2002)""] "List of Required Vaccinations (July 1, 2020)."

Exhibit B, ["Guide to Hawaii Immunization & Examination Requirements for Schools (July 1, 2002)."] "General Best Practice Guidelines for Immunization; Best Practices Guidance of the Advisory Committee on Immunization Practices (ACIP)."

[Exhibit C, "Guide to Hawaii Post-Secondary School Immunization & Tuberculosis Examination Requirements (July 1, 2002)."]

(b) The United States Department of Health and Human Services', General Best Practice Guidelines for Immunization; Best Practices Guidance of the Advisory Committee on Immunization Practices (ACIP), attached hereto as Exhibit B, are adopted as the requirements in the State of Hawaii for minimum age, required spacing between doses, and other conditions governing the acceptability of immunizations. Only those sections of Exhibit B that pertain to the requirements of this chapter, including the specific vaccinations listed in Exhibit A, shall apply.
(c) The Exhibits are located at the end of and are made a part of this chapter. If an exhibit conflicts with this chapter, this chapter shall prevail. Implementation of the amendments to this section shall occur on [July 1, 2002] July 1, 2020.

(d) The director is authorized to suspend temporarily or amend any portion of the immunization requirements due to unforeseen circumstances. The director shall notify affected schools, post-secondary schools, or child care facilities in writing of any suspension or amendment. The notification shall include details of the suspension or amendment, including the suspended or amended requirements, the anticipated duration of the suspension or amendment, and policies to be implemented during the suspension or amendment. [Eff 11/5/81; am and comp 6/17/93; am and comp 10/23/97; am and comp 8/27/01] (Auth: HRS §§302A-1162, 321-9, 321-11, 325-13, 325-32) (Imp: HRS §§302A-901, 302A-1154 through 302A-1163, 321-1, 321-9, 321-11, 325-13, 325-32 through 325-38)

§11-157-3.05 Documentation of immunizations.

(a) Documentation of immunizations shall indicate the department of health or the name of the practitioner responsible for administering or reviewing each immunization. The documentation shall also bear the signature of the practitioner or a unique stamp or imprinted name of the department, the practitioner, or the licensed facility at which the practitioner practices.

(b) Documentation of immunizations shall include the complete date the vaccine was administered. An immunization record without complete dates may be accepted for school, post-secondary school, or child care facility attendance if it can be determined that each vaccination complied with the minimum interval and age requirements. A grace period applies to each minimum
age and interval. The grace period does not apply to the minimum interval between two doses of injectable or nasally administered live virus vaccines.

(c) Documentation of serologic evidence of immunity may be substituted for a record of immunizations for certain diseases as specified [in Exhibit B and Exhibit C] by the United States Department of Health and Human Services', Advisory Committee on Immunization Practices in its General Best Practice Guidelines for Immunization, attached hereto as Exhibit B. The documentation shall include a laboratory report [and certification], signed by a practitioner, certifying that the report provides evidence of immunity. The student or child is immune to the named disease.

(d) [Documentation of a history of varicella (chicken pox) signed by a practitioner as specified in Exhibit B] A signed, documented history of a diagnosis of varicella by a practitioner or a signed report by a practitioner that the practitioner has reviewed a reported history of varicella infection and has made a clinical judgment that the individual is immune to varicella may be substituted for a record of immunization with varicella vaccine.

(e) Electronic versions of the documentation of immunizations, documentation of serologic evidence of immunity, and documentation of a history of varicella (chicken pox), including records maintained in the Hawaii immunization registry, are acceptable provided all information required by this section is recorded.

[的基础上)(f) [Documentation of immunizations and evidence of immunity shall be kept with the student's health record.] Documentation of immunizations and evidence of immunity shall be maintained by schools, post-secondary schools, and child care facilities, either as a part of the student's health record, post-secondary school student's record, or child care
§11-157-3.05


§11-157-3.1 Responsibility. (a) Each person is responsible for his or her own immunizations, except that each parent, guardian, or other person who has care, custody, or control of a minor, protected person, or dependent is responsible for the immunization and examination of his or her minor, protected person, or dependent.

(b) Each school [and post-secondary school, and child care facility principal or administrator shall ensure that his or her school or facility only admits students or children who comply with this chapter. [Eff and comp 10/23/97; am and comp 8/27/01; am and comp ] (Auth: HRS §§302A-1162, 321-9, 325-13) (Imp: HRS §§302A-901, 302A-1154 through 302A-1163, 321-1, 321-9, 321-11, 325-13, 325-32 through 325-38, 325-71 through 325-78)

§11-157-3.2 Tuberculosis [examination] clearance requirements. [(a)] Each student or child shall be examined for infection with tuberculosis by a practitioner or the department within the twelve months prior to first attending school in Hawaii, except as noted in subsection (b). The tuberculosis examination requirements for attendance at post-secondary school are as provided in chapter 11-164.

(b) A student first attending school before twelve months of age shall obtain and present a certificate of TB examination prior to age fourteen months or be excluded from school until a certificate of TB examination is obtained and presented.
(c) A certificate of TB examination shall report the results of a Mantoux tuberculin test, including the dates of administration and reading and the transverse diameter of induration in millimeters, and shall bear the signature or unique stamp of the practitioner, the facility at which the practitioner practices, or the department. If the transverse diameter is equal to or greater than 10 mm., the certificate shall also report the result of a chest x-ray, including the date and location the x-ray was obtained. If the reader of the x-ray or practitioner cannot determine that the student is free from communicable TB, then no certificate shall be issued and the case shall be immediately referred to the department of health.

(d) A person providing written documentation of a prior positive Mantoux tuberculin test result which includes the name of the practitioner or clinic administering the test, the dates of administration and reading and the diameter of induration in millimeters may have a certificate issued based on a chest x-ray without a repeat Mantoux tuberculin test, provided that the certificate shall contain the required information about the positive Mantoux tuberculin test.

(e) A person with a chest x-ray consistent with tuberculosis shall submit to further examination or treatment as deemed necessary by the department to exclude or treat a diagnosis of communicable tuberculosis before issuance of a valid TB certificate.

(f) A certificate of TB examination issued within 12 months before first attendance at school in Hawaii shall not expire for purposes of school attendance and may be used for transfer or attendance at all schools in Hawaii.

(g) A certificate of TB examination is required for school attendance. Every school shall maintain a copy of each student’s certificate of TB examination.
§11-157-3.2

while the student is enrolled, shall make that copy available for inspection by the department, and shall transmit a copy of the certificate together with the student’s health record to the school to which a student transfers.} comply with the department's tuberculosis rules as they apply to school, post-secondary school, and child care facility attendance. [Eff and comp 10/23/97; am and comp 8/27/01; am and comp ] (Auth: HRS §§302A-1162, 321-9, 321-11, 325-13) (Imp: HRS §§302A-901, 302A-1154 through 302A-1163, 321-1, 321-11, 325-71 through 325-78)

§11-157-4 Performance of immunization; records.

(a) Any immunization required by these rules shall be performed by a practitioner[or], other medical personnel under the direction of a practitioner, or by the department. The manner and frequency of immunization administration shall conform with these rules and recognized standard medical practices.

(b) [Records of any examination or immunization required by these rules shall be maintained by the practitioner or the department and shall be available for inspection and copying by the department.] Records of any immunizations required by these rules that are not administered in the United States may be accepted if reviewed and signed or stamped by a practitioner.

(c) Documentation of any examination or immunization required by these rules shall be maintained by the practitioner or the department and shall be available for inspection and copying by the department. [Eff 11/5/81; am and comp 6/17/93; am and comp 10/23/97; am and comp 8/27/01; am and comp ] (Auth: HRS §§302A-1162, 321-9, 321-11(22), 325-13, 325-32) (Imp: HRS §§302A-1154, 302A-1159, 302A-1160, 302A-1162, 302A-1163, 321-9, 321-11, 325-13, 325-32, 325-33, 325-35)
§11-157-4.1 Immunization of indigents and other persons. (a) The department shall provide for free immunization for the indigent and medically indigent for their protection against the diseases required by these rules. In this section, "indigent" and "medically indigent" have the meanings defined in [HRS] section 325-38[1], HRS.

(b) The department may provide for free immunization of high risk individuals to interrupt transmission or limit morbidity from communicable diseases, or to protect employees of the department from communicable diseases which they may encounter in the performance of their duties. [Eff and comp 10/23/97; comp 8/27/01; am and comp ]

§11-157-5 Exemptions. (a) Medical exemptions from the requirements for specific immunizing agents shall be granted upon certification by a physician [on the physician's professional stationery] in a form or format specified by the department, that an immunization is medically contraindicated due to a stated cause, for a specific period of time[1], in conformance with recognized standard medical practices. The [original certificate] form shall be provided to the exempt person or parent or guardian. [A copy] Copies of the [certificate] form shall be maintained in the student's school health record[2], in the post-secondary school student's record, or in the child care facility child's record. Issuing physicians shall forward a copy of the form to the department. Reports of such [certificates] forms in a format specified by the department shall also be submitted to the department by each school[3], post-secondary school, and child care facility.

(b) A religious exemption shall be granted to a student or child whose parent, custodian, guardian, or
other person in loco parentis certifies that the person's religious beliefs prohibit the practice of immunization. Requests for religious exemptions based on objections to specific immunizing agents will not be granted. Students who have reached the age of majority shall apply on their own behalf. The certification shall be retained in the student's health record, in the post-secondary school student's record, or in the child care facility child's record. Reports of such exemptions in a format specified by the department shall be submitted to the department by each school, post-secondary school, and child care facility.

(c) If at any time, the director determines that there is the danger or presence of an outbreak or epidemic from any of the communicable diseases for which immunization is required under this chapter, the exemption from immunization against such disease shall not be recognized, and inadequately immunized students or children shall be excluded from school, post-secondary school, or child care facilities until the director has determined that the presence or danger of the outbreak or epidemic no longer exists.

(d) After-school programs, family child care homes, parent cooperatives, play groups, respite programs, group child care homes, and drop-in child care centers are excluded from the requirements of this chapter. All schools and post-secondary schools that conduct classes and activities exclusively online or electronically via remote learning are excluded from the requirements of this chapter.

§11-157-6 REPEALED. [R 10/23/97]

§11-157-6.1 Health examination requirements. (a) Each student or child shall present a record of his or her physical examination by a practitioner as specified in subsection (b) before the student or child first attends school or a child care facility. The examination shall occur within 12 months before the date of first school attendance at school or child care facility in Hawaii. The record shall be transferred to subsequent schools attended by the student, and re-examination is not required.

(b) Pursuant section 302A-1159(b), HRS, every student entering seventh grade shall present a record of his or her physical examination (pre-seventh grade physical examination) by a practitioner performed within twelve months before the first date of attendance in the seventh grade.

(c) Results of the examination shall be reported to the school or child care facility on a form or in a format approved by the department. The report of physical examination shall be signed by the practitioner performing the examination.

(d) The report of physical examination shall be kept with the student's health record or child care facility child's record. [Eff and comp 10/23/97; am and comp 8/27/01; am and comp ]

§11-157-6.2 Provisional attendance. (a) A student or child who does not have evidence of all of the required immunizations or a report of physical examination may attend school, post-secondary school, or a child care facility provisionally upon submitting
written evidence from a practitioner or the department stating that the student or child is in the process of receiving required immunizations [or physical examination]. A student or child who does not have a report of physical examination may attend school or child care facility provisionally upon submitting written evidence from a practitioner stating that the student or child is in the process of receiving the physical examination. The failure to provide a report of the pre-seventh grade physical examination will not result in provisional attendance unless the student is first entering a Hawaii school in the seventh grade. A physical examination is not required for post-secondary school attendance. An appointment notice from a practitioner's office or the department shall be recognized as written evidence. A student or child without written evidence shall not be allowed to attend school, post-secondary school, or a child care facility.

(b) [If a preschool or K-12 student does not complete the required immunizations or examination within three months of the date of provisional entry, the school shall notify the parent by dated, written notice of exclusion that the student will be excluded from the school beginning 30 calendar days after the date of the notice.] The provisional attendance period shall be no longer than three calendar months after the date of provisional attendance to a school or child care facility and no longer than forty-five calendar days after the date of provisional attendance to a post-secondary school.

(c) [Beginning on the school day 30 calendar days after the date of notice of exclusion, the student shall be prohibited from attending school unless and until complete documentation covering the required immunizations and physical examination is provided to the school.] A student or child who fails to keep a scheduled appointment with their practitioner or the department during the provisional
attendance period may attend school, post-secondary school, or a child care facility only upon submitting a new appointment notice from a practitioner’s office or the department. Failure to keep a scheduled appointment or transferring schools, post-secondary schools, or child care facilities during the provisional attendance period does not extend the provisional attendance period past the periods listed in subsection (b).

(d) If all of the required immunizations cannot be completed within three months the provisional attendance period due to the required minimum intervals between doses or other medical necessity, the school, post-secondary school, or child care facility may extend provisional attendance as long as evidence is provided that appointments have been made to complete the required immunizations. If a student or child whose provisional attendance period has been extended fails to keep a scheduled appointment, he or she shall be excluded from school, post-secondary school, or child care facilities until evidence that the required immunizations have been obtained is presented to the school.

(e) If a post-secondary school student does not complete the required immunizations within 45 days of the date of provisional entry, the school shall exclude the student from all school activities until documentation that the required immunizations have been obtained is provided to the school. Provisional attendance may be suspended by the department when there is a danger or presence of an outbreak or epidemic from any of the communicable diseases for which immunization is required under this chapter until the director has determined that the presence or danger of the outbreak or epidemic no longer exists.

§11-157-6.2


§11-157-6.3 [School reporting and records] Notice of exclusion and exclusion. (a) [Each school shall report to the department by October 10 and January 10 of each year the names of all students who have been provisionally admitted, have been excluded for failure to comply fully with the immunization or examination requirements in this chapter, and who have medical or religious exemptions. This report shall include the types of immunizations and dose numbers which are incomplete for each of these students and shall be in a format as specified by the department.] If a student or child does not complete the required immunizations or examination within three months of the date of provisional attendance, the school or child care facility shall notify the parent or adult student by dated, written notice of exclusion that the student or child will be excluded from the school or child care facility beginning thirty calendar days after the date of the notice.

(b) [School and post-secondary school records documenting compliance with this chapter shall be made available for inspection and copying by the department upon request.] Beginning on the school or business day thirty calendar days after the date of notice of exclusion, the school or child care facility shall prohibit the student or child from attending school or a child care facility until complete documentation covering the required immunizations and physical examination is provided to the school or child care facility.

(c) If a post-secondary school student does not complete the required immunizations within forty-five calendar days of the date of provisional attendance, the post-secondary school shall exclude the student from attending classes and all post-secondary school
§11-157-7


§11-157-6.4 School, post-secondary school, and child care facility reporting and records. (a) Each school and child care facility shall report to the department by October 10th and January 10th of each school year the names of all students or children who have been provisionally admitted, who have been excluded for failure to comply fully with the immunization or examination requirements in this chapter, or who have medical or religious exemptions. This report shall include the types of immunizations and dose numbers which are incomplete for each of these students or children and shall be in a format as specified by the department. Each school and child care facility is required to submit the report even if all students or children have met the immunization and examination requirements.

§11-157-7 Penalties and remedies. Penalties and remedies for failure to comply with these rules are provided in sections 321-18, 321-20, 325-14, and 325-
§11-157-7


§11-157-7.1 Suspension and revocation; exclusion. (a) Valid [TB certificates,] certificates of TB clearance, immunization records, physical examination records, and certificates of medical or religious exemption (collectively "documents") may be suspended or revoked if a preponderance of the evidence shows that a document contains a material inaccuracy, misrepresentation, or is fraudulent.

(b) A [child or] student or child shall be excluded from school [or], post-secondary school, or child care facilities if any document required by this chapter is suspended or revoked. [Eff and comp 10/23/97; am and comp 8/27/01; am and comp ] (Auth: HRS §§302A-1162, 321-9, 321-11, 325-13, 325-32) (Imp: HRS §§302A-901, 302A-1154 through 302A-1163, 321-1, 321-9, 321-11, 325-13, 325-32 through 325-38)

§11-157-8 Severability. If any provision of this chapter, or its application to any person or circumstance, is held invalid, the application of such provision to other persons or circumstances, and the remainder of this chapter, shall not be affected thereby." [Eff 11/5/81; comp 6/17/93; comp 10/23/97; comp 8/27/01; comp ] (Auth: HRS §§302A-1162, 321-9, 321-11, 325-13, 325-32) (Imp: HRS §§302A-901, 302A-1154 through 302A-1163, 321-1, 321-9, 321-11, 325-13, 325-32 through 325-38, 325-71 through 325-78)
2. Material, except source notes and other notes, to be repealed is bracketed and stricken. New material, except source notes and other notes, is underscored.

3. Additions to update source notes and other notes to reflect these amendments and compilation are not underscored.

4. These amendments to and compilation of chapter 11-157, Hawaii Administrative Rules, shall take effect ten days after filing with the Office of the Lieutenant Governor; provided that the implementation of the amendments to section 11-157-3 shall occur on July 1, 2020.

I certify that the foregoing are copies of the rules, drafted in the Ramseyer format pursuant to the requirements of section 91-4.1, Hawaii Revised Statutes, which were adopted on and filed with the Office of the Lieutenant Governor.

Bruce S. Anderson Ph.D.
Director of Health

APPROVED AS TO FORM:

Deputy Attorney General