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AO 10 Rev. 1/2021

## FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
		_
Buchwald, Naomi R.	SOUTHERN DISTRICT OF NEW YORK	04/15/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
	Nomination Date	01/01/2020
U.S. District Judge (Senior)	Initial Annual Final	to 12/31/2020
	5b. Amended Report	12/31/2020
7. Chambers or Office Address		•
Daniel Patrick Moynihan Courthouse 500 Pearl Street ROOM 2270 New York, NY 10007		
	structions accompanying this form must be followed. Completox for each part where you have no reportable information.	te all parts,
I. POSITIONS. (Reporting individual only; see pp. 9-13 of fi	iling instructions )	
NONE (No reportable positions.)		
<u>POSITION</u>	NAME OF ORGA	NIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		
II. AGREEMENTS. (Reporting individual only; see pp	14-16 of filing instructions )	
NONE (No reportable agreements.)		
<u>DATE</u>	PARTIES AND TERMS	
1.		
2.		
-		

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## FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

04/15/2021

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---	--	---	---	---	-------------	---	---	----	--------------	---	---	---	--------------	---------------	---	---	---	---	---	---	--	---	----	------	----	------	----	-------	-------	-------	-----	------	-------	---	------	------	----	-------	------	--------	------	---

	ler's Non-Investment 1		eporting individual and spouse; see	pp. 17-24 of fuing instruction	s)
A. FI	NONE (No reportable		ome.)		
	<u>DATE</u>		SOURCE AND	ГҮРЕ	INCOME (yours, not spouse's)
1.					
2.					
3.					
4.					
_	pouse's Non-Investmen amount not required except for he NONE (No reportable	onoraria.)	married during any portion of the r	eporting year, complete this sec	ction.
	DATE		SOURCE AND	ΓΥΡΕ	
1. 202	0	Solo Law Pra	actice		
2.					
3.					
4.					
	REIMBURSEMEN es those to spouse and dependent of NONE (No reportable	children; see pp. 25-27 of fili			
	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	ITEMS PAID OR PROVIDED
1.					
2.					_
3.					_
4.					_
5.					

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FINANCIAL DISCLOSURE REPO	Name of Person Reporting	Date of Report
Page 3 of 43	Buchwald, Naomi R.	04/15/2021
${f V.~GIFTS.}$ (Includes those to spouse and dependent chil	Idran: see pp. 28.31 of filing instructions	
NONE (No reportable gifts.)	aren, see pp. 20-31 vj jung msn uenons.)	
SOURCE	<u>DESCRIPTION</u>	<u>VALUE</u>
1		
2.		
3.		
4.		
5.		
VI. LIABILITIES. (Includes those of spouse and	dependent children; see pp. 32-33 of filing instructions.)	
<b>✓</b> NONE (No reportable liabilities.)		
CREDITOR	DESCRIPTION	VALUE CODE

2.

3.

4.

5.

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#### FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

04/15/2021

VII.	INVESTMENTS	and TRUSTS	income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.
7 11			r == income, value, transactions (Includes inose of spouse and dependent children, see pp. 54-00 of filing instructions

NONE (No reportable income, assets, or transactions.)

ш	NONE (No reportable income, as	beis, er	ir airistic ii o ii	5.7									
	A		В	(				D					
	Description of Assets		me during		lue at end	Transactions during reporting period							
	(including trust assets)	repor	ting period	of report	ing period								
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)			
	Place "(X)" after each asset	Amount	Type (e g ,	Value	Value	Type (e g ,	Date	Value	Gain	Identity of			
	exempt from prior disclosure	Code 1	div, rent,	Code 2	Method	buy, sell,	mm/dd/yy	Code 2	Code 1	buyer/seller			
		(A-H)	or int )	(J-P)	Code 3	redemption)		(J-P)	(A-H)	(if private			
					(Q-W)					transaction)			
1.	Citibank Accounts	D	Interest	О	T								
2.	Vanguard (IRA)	A	Dividend	K	Т								
	vanguara (ira i)	Λ	Dividend	K	1								
_													
3.	Prime Money Market Fund		Dividend	K	T								
	_												
4.	Schwab (IRA)	A	Interest	P1	T								
5.	Schwab Deposit Account	A	Interest	J	Т								
	Z	71	Interest	,									
_	All of Talaman		51.11.1				00/00/00						
6.	Abbott Laboratory	A	Dividend			Buy	03/30/20	K					
	_												
7.						Sold	04/08/20	K	В				
						(part)							
8.						Sold	04/15/20	K	С				
9.	Alphabet Common Stock A Class A		None			Buy	03/19/20	M					
<i>)</i> .	[GOOGL]		None			Биу	03/19/20	IVI					
10.						Sold	03/19/20	M	В				
11.						Buy	03/20/20	M					
12.						Sold	03/25/20	M	В				
						Boild	03/23/20	111					
13.						D.	00/10/20	3.5					
13.						Buy	08/18/20	M					
14.						Sold	09/02/20	M	D				
15.	Alphabet Common Stock Class C		None			Buy	03/17/20	M					
	[GOOG]												
16.	_					Sold	03/19/20	M	D				
10.						Solu	03/17/20	1V1	ر ا				
1.5						_							
17.						Buy	04/07/20	N					
	_												

Income Gain Codes:
 (See Columns B1 and D4)
 Value Codes

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2) A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000

U =Book Value

J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 Q =Appraisal

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)
V =Other

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

B =\$1,001 - \$2,500

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

P4 =More than \$50,000,000 S =Assessment

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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#### FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Buchwald, Naomi R.	04/15/2021

### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets	Incor	B me during	Gross va	C lue at end		D Transactions during reporting period					
(including trust assets)		ting period		ing period							
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)		
8.					Sold (part)	04/16/20	M	D			
9.					Sold	05/01/20	M	D			
0.					Buy	05/01/20	M				
1.					Sold	07/07/20	М	Е			
2 Amazon Common Stock		None	0	Т	Sold (part)	02/28/20	О	Е			
3.					Buy (add'l)	03/24/20	О				
4.					Buy (add'l)	03/27/20	О				
5.					Sold (part)	04/03/20	О				
6.					Sold	04/06/20	M	С			
7.					Buy	04/08/20	N				
8.					Buy (add'l)	04/24/20	N				
9.					Buy (add'l)	04/27/20	N				
0.					Sold (part)	04/27/20	M	Е			
1.					Sold (part)	04/29/20	M	Е			
2.					Buy (add'l)	04/30/20	N				
3.					Sold (part)	07/20/20	О	G			
4.					Buy (add'l)	07/14/20	О				

1 Income Gain Codes: (See Columns B1 and D4) 2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000

R =Cost (Real Estate Only)  $Q = \!\! Appraisal$ V =Other U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

O =\$500,001 - \$1,000,000

K =\$15,001 - \$50,000

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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#### FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Buchwald, Naomi R.	04/15/2021

### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets	Incor	B ne during		C lue at end		D Transactions during reporting period						
(including trust assets)		ing period		ing period	Transactions during reporting period							
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)			
;.					Sold (part)	09/04/20	0	Е				
6.					Buy (add'l)	09/10/20	N					
7.					Buy (add'l)	09/16/20	N					
8.					Sold (part)	09/18/20	0					
9 Apple Common Stock		None			Buy	03/19/20	M					
0.					Sold	03/25/20	N	В				
1.					Buy	07/28/20	N					
2.					Sold	07/30/20	N	Е				
3.					Buy	08/12/20	M					
4.					Sold	08/14/20	M	В				
5.					Buy	09/15/20	K					
6.					Sold	09/18/20	K					
7 Applied Materials Common Stock	A	Dividend			Buy	04/02/20	L					
8.					Sold	04/16/20	L	Е				
9.					Buy	06/29/20	L					
0.					Buy (add'l)	07/10/20	L					
1.					Sold (part)	08/13/20	K	D				

1 Income Gain Codes: (See Columns B1 and D4) 2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

U =Book Value

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000  $Q = \!\! Appraisal$ 

R =Cost (Real Estate Only) V =Other

B =\$1,001 - \$2,500

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000  $S = \!\! Assessment$ 

W =Estimated

D=\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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Name of Person Reporting Date of Report Buchwald, Naomi R. 04/15/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets	Incor	B me during		C lue at end		D Transactions during reporting period						
(including trust assets)		ting period		ing period	Transactions during reporting period							
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)			
2.					Sold (part)	08/14/20	L	D				
3.					Sold	09/04/20	L					
4 Cisco Common Stock	A	Dividend	K	Т	Sold (part)	02/28/20	M					
5 Facebook Common Stock		None			Buy	07/30/20	M					
6.					Sold	08/13/20	M	Е				
7 Home Depot Common Stock	В	Dividend	M	Т	Buy	08/18/20	M					
8.					Sold (part)	09/04/20	M					
9 Intel Common Stock	В	Dividend			Buy (add'l)	01/16/20	L					
0.					Sold (part)	01/23/20	L	D				
1.					Buy (add'l)	01/08/20	L					
2.					Buy (add'l)	02/10/20	L					
3.					Buy (add'l)	02/12/20	M					
4.					Buy (add'l)	02/19/20	M					
5.					Sold (part)	02/19/20	M	D				
6.					Sold	02/28/20	M					
7.					Buy	04/08/20	N					
8.					Sold (part)	04/16/20	M	D				

1 Income Gain Codes: (See Columns B1 and D4) 2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000

R =Cost (Real Estate Only)  $Q = \!\! Appraisal$ V =Other U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

O =\$500,001 - \$1,000,000

K =\$15,001 - \$50,000

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

P2 =\$5,000,001 - \$25,000,000 T =Cash Market

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

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Name of Person Reporting Date of Report Buchwald, Naomi R. 04/15/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets	Incor	B ne during	Gross va	C lue at end	D Transactions during reporting period							
(including trust assets)		ing period		ing period								
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)			
).					Sold (part)	04/21/20	L					
0.					Sold	05/01/20	M	В				
1.					Buy	05/01/20	M					
2.					Sold	02/28/20	M					
3.					Buy	06/17/20	N					
4.					Sold	07/06/20	N					
5.					Buy	07/22/20	M					
6.					Sold	07/28/20	L					
7 Johnson & Johnson Common Stock	С	Dividend	L	Т	Buy	03/30/20	L					
8.					Sold (part)	04/08/20	K	В				
9.					Buy (add'l)	04/09/20	L					
0.					Sold (part)	04/15/20	M	D				
1.					Buy (add'l)	05/20/20	L					
2.					Sold (part)	09/01/20	L	В				
3 Lowes Common Stock	A	Dividend	L	Т	Sold (part)	01/23/20	L	A				
4.					Buy (add'l)	02/10/20	М					
5.					Sold (part)	03/19/20	L					

1 Income Gain Codes: (See Columns B1 and D4) 2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

U =Book Value

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000  $Q = \!\! Appraisal$ 

R =Cost (Real Estate Only) V =Other

B =\$1,001 - \$2,500

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

04/15/2021

#### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets)	B Income during reporting period		tion of Assets Income durin			lue at end		Transactio	D ons during	reporting	period
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)		

86 Microsoft Common Stock	A	Dividend			Sold	01/23/20	M	Е	
87.					Buy	02/21/20	M		
88.					Sold	02/28/20	M		
					Sold	02/28/20	IVI		
89.					Buy	07/13/20	M		
90.					Sold (part)	08/27/20	M	D	
91.					Buy (add'1)	09/02/20	M		
92.					Sold	09/04/20	M		
93 Netflix Common Stock		None	M	Т	Buy	07/13/20	О		
94.					Sold	07/24/20	N		
95.					Buy	09/04/20	N		
96.					Sold	09/15/20	N		
97.					Buy	09/16/20	N		
98.					Sold	09/18/20	N		
99.					Buy	10/12/20	N		
100.					Sold	09/21/20	M		
101 Nikola Corp Common Stock		None			Buy	08/04/20	K		
102.					Sold	08/13/20	K		

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2) A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000

J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000

 $\begin{array}{ll} P3 = \$25,000,001 - \$50,000,000 \\ Q = & Appraisal \\ U = & Book \ Value \end{array} \qquad \begin{array}{ll} R = & Cost \ (Real \ Estate \ Only) \\ V = & Other \end{array}$ 

B =\$1,001 - \$2,500

K =\$15,001 - \$50,000

G =\$100,001 - \$1,000,000

O =\$500,001 - \$1,000,000

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

P4 =More than \$50,000,000 S =Assessment W =Estimated D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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#### FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Date of Report Buchwald, Naomi R. 04/15/2021

### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A  Description of Assets	Incor	B me during		C lue at end		Transactio	D ons during	reporting	period
(including trust assets)  Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	of reportion (1) Value Code 2 (J-P)	(2) Value Method Code 3	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private
				(Q-W)					transaction)
103.					Buy	08/18/20	K		
104.					Sold	09/11/20	K		
105 Pfizer Common Stock	A	Dividend	K	Т	Buy	09/09/20	K		
106.					Buy (add'l)	09/14/20	K		
107 Regeneron Common Stock		None	М	Т	Buy	10/08/20	М		
108.					Sold (part)	12/04/20	М		
109 Roku Common Stock		None	М	Т	Buy	10/12/20	М		
110 Slack Technologies Class A		None			Buy	06/05/20	K		
111.					Sold	08/28/20	K	A	
112 Stanley Black & Decker Common Stock	A	Dividend			Buy	05/21/20	M		
113.					Sold	06/04/20	M	Е	
114 Tesla Common Stock		None			Buy	08/04/20	M		
115.					Sold	08/13/20	M	Е	
116 Viatris Common Stock		None	J	Т	Spinoff (from line 118)	09/09/20	J		
117.					Buy (add'l)	12/09/20	J		
118 Walmart Common Stock		None	M	Т	Buy	08/19/20	L		
119.					Sold (part)	08/27/20	L	В	

1 Income Gain Codes: (See Columns B1 and D4) 2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

 $Q = \!\! Appraisal$ 

U =Book Value

B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000

R =Cost (Real Estate Only) V =Other

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P4 =More than \$50,000,000

P1 =\$1,000,001 - \$5,000,000

 $S = \!\! Assessment$ W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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Name of Person Reporting	Date of Report
Buchwald, Naomi R.	04/15/2021

#### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A		В С				D				
Description of Assets (including trust assets)	Income during reporting period		e					Transactions during reporting period		
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g, div, rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
20.				(Q-w)	Sold	11/17/20	K	С	tra	

120.					Sold (part)	11/17/20	K	С	
121. JPMorgan Chase Accounts	С	Interest	N	Т					
122. Schwab Account NY Muni Money Mkt	D	Int./Div.	N	Т					
123. Merrill Lynch Cash Acct	D	Int./Div.	О	Т					
124. Abbott Laboratories Common Stock	A	Dividend	K	Т	Buy	03/30/20	K		
125. Advanced Micro Devices Common Stock		None			Buy	07/10/20	L		
126.					Sold	07/24/20	L	D	
127. Alphabet Class A [GOOGL]		None			Buy	03/20/20	M		
128.					Buy (add'l)	03/23/20	K		
129.					Buy (add'l)	03/25/20	M		
130.					Sold (part)	03/25/20	M		
131.					Buy (add'l)	03/27/20	О		
132.					Sold (part)	03/27/20	N	В	
133.					Buy (add'l)	03/30/20	M		
134.					Sold	03/30/20	N	A	
135.					Buy	03/31/20	M		
136.					Sold	03/31/20	M	В	

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2) A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

J =\$15,000 or less K =\$15. N =\$250,001 - \$500,000 O =\$50

P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 = More than \$50,000,000

P4 =More than \$50,000,000 S =Assessment

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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Name of Person Reporting	Date of Report
Buchwald, Naomi R.	04/15/2021

### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A	D									
	Description of Assets		e during Gross value at end			Transactions during reporting period					
	(including trust assets)	repor	ting period	of reporti	ing period						
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Place "(X)" after each asset	Amount	Type (e g,	Value	Value	Type (e g,	Date	Value	Gain	Identity of	
	exempt from prior disclosure	Code 1	div, rent,	Code 2	Method	buy, sell,	mm/dd/yy	Code 2	Code 1	buyer/seller	
		(A-H)	or int )	(J-P)	Code 3	redemption)		(J-P)	(A-H)	(if private	
					(Q-W)					transaction)	
		1 1			1		1				
37.						Buy	04/02/20	M			
38.						Buy	04/07/20	M			
						(add'l)					
39.						Sold	04/08/20	M	C		
						(part)					
40.						Buy	04/09/20	M			
. 10.						(add'l)	04/07/20	171			
							0.4/0.5/5				
41.						Sold	04/09/20	N			
42.						Buy	04/16/20	O			
43.						Sold	04/16/20	O	D		
44.						Buy	06/30/20	N			
45.						Sold	07/02/20	N	D		
46.						Buy	08/26/20	N			
						Buy	00/20/20	11			
47.						Sold	08/26/20	M	С		
						(part)	00/20/20	1/1			
10							00/9=/=:				
48.						Buy	08/27/20	M			
						(add'l)					
49.						Sold	08/27/20	N	C		
						(part)					
50.						Buy	08/28/20	N			
						(add'l)					
51.						Sold	08/28/20	N	В		
							25.20,20	-,	_		
52.						Buy	08/31/20	M			
						Биу	00/31/20	171			
53.						0.11	00/01/20	1.5	D		
33.						Sold	09/01/20	M	В		

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

U =Book Value

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000  $Q = \!\! Appraisal$ 

R =Cost (Real Estate Only) V =Other

B =\$1,001 - \$2,500

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000  $S = \!\! Assessment$ 

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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Name of Person Reporting	Date of Report
Buchwald, Naomi R.	04/15/2021

#### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

TYONE (140 reportable income, c	, .									
A B C					D					
Description of Assets		Income during Gross value at end			Transactions during reporting period					
(including trust assets)		ting period								
DI HOTOH O	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
Place "(X)" after each asset	Amount Code 1	Type (e g ,	Value	Value Method	Type (e g ,	Date	Value	Gain	Identity of	
exempt from prior disclosure	(A-H)	div , rent, or int )	Code 2 (J-P)	Code 3	buy, sell, redemption)	mm/dd/yy	Code 2 (J-P)	Code 1 (A-H)	buyer/seller (if private	
	()	or int )	(J-F)	(Q-W)	redemption)		(J-F)	(A-II)	transaction)	
				(Q-W)					transaction)	
154.						00/04/00				
134.					Buy	09/04/20	О			
155.					Sold	09/04/20	O	D		
156.					Buy	09/11/20	N			
157.					Sold	09/11/20	N			
158.					Buy	09/22/20	N			
159.					Sold	09/22/20	N			
					Sold	07/22/20	11			
160.					D.	00/22/20				
100.					Buy	09/23/20	M			
1.61					_					
161.					Buy	09/25/20	M			
					(add'l)					
162.					Sold	09/25/20	N			
163. Alphabet Class C [GOOG]		None			Buy	03/20/20	M			
164.					Sold	03/25/20	M	A		
165.					Buy	03/27/20	N			
					24,	00/2//20	- 1			
166.					Sold	03/27/20	M	С		
100.					(part)	03/21/20	1 <b>VI</b>			
167						00/00/00		-		
167.					Sold	03/30/20	M	C		
168.					Buy	03/31/20	M			
169.					Sold	04/01/20	M	C		
170.					Buy	04/07/20	N			

1 Income Gain Codes: (See Columns B1 and D4) 2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

U =Book Value

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000 R =Cost (Real Estate Only)  $Q = \!\! Appraisal$ 

B =\$1,001 - \$2,500

V =Other

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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Name of Person Reporting Date of Report 04/15/2021 Buchwald, Naomi R.

#### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A	В	С			D						
Description of Assets (including trust assets)	Income during reporting period			Gross value at end of reporting period			Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Ty Code 1 d	(2) ype (e g , liv , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)		
71.					Sold	04/08/20	N	В			
72.					Buy	04/16/20	N				
73.					Sold	04/16/20	N	В			
74.					Buy	07/01/20	N				
75.					Sold (part)	07/01/20	M	A			
76.					Sold	07/02/20	M	В			
77.					Buy	08/26/20	N				
78.					Buy (add'l)	08/27/20	N				
79.					Sold	08/27/20	О	D			
80.					Buy	09/09/20	M				
81.					Sold (part)	09/09/20	M	A			
82.					Buy (add'l)	09/25/20	N				
83.					Sold	09/25/20	N				
84. Amazon Common Stock		None	P1	Т	Buy (add'l)	01/08/20	P1				
85.					Sold	01/08/20	P1				
86.					Buy	01/10/20	M				
87.					Buy (add'l)	02/13/20	P1				

1 Income Gain Codes: (See Columns B1 and D4) 2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000

U =Book Value

B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000  $Q = \!\! Appraisal$ 

R =Cost (Real Estate Only) V =Other

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P4 =More than \$50,000,000

P1 =\$1,000,001 - \$5,000,000

 $S = \!\! Assessment$ 

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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Name of Person Reporting	Date of Report
Buchwald, Naomi R.	04/15/2021

#### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets	Incom	C Gross value at end of reporting period			D Transactions during reporting period				
(including trust assets)  Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
88.					Sold (part)	02/13/20	M	Е	
39.					Sold (part)	02/14/20	N		
90.					Sold (part)	02/20/20	M	В	
91.					Sold (part)	02/21/20	M		
92.					Sold (part)	02/28/20	О		
93.					Buy (add'l)	03/17/20	P1		
94.					Sold (part)	03/26/20	N		
95.					Sold (part)	03/30/20	M		
96.					Sold (part)	04/03/20	P1		
97.					Sold (part)	04/08/20	N		
98.					Buy (add'l)	04/09/20	P1		
99.					Sold (part)	04/09/20	О		
00.					Sold (part)	04/15/20	N		
01.					Sold (part)	04/16/20	N		
)2.					Sold (part)	04/17/20	M		
3.					Sold (part)	04/27/20	N		
14.					Sold (part)	04/29/20	N	D	

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

U =Book Value

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000  $Q = \!\! Appraisal$ 

O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other

B =\$1,001 - \$2,500

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000  $S = \!\! Assessment$ 

W =Estimated

H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

D =\$5,001 - \$15,000

T =Cash Market

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Name of Person Reporting	Date of Report
Buchwald, Naomi R.	04/15/2021

### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A		В	(		D					
	Description of Assets (including trust assets)		Income during Gross value at end reporting period of reporting period			Transactions during reporting period					
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
05.						Sold (part)	04/30/20	M	D		
06.						Sold (part)	05/06/20	M			
07.						Sold (part)	05/07/20	P1	D		
08.						Sold (part)	05/20/20	M	D		
09.						Buy (add'l)	05/26/20	0			
10.						Sold (part)	06/01/20	M	D		
11.						Sold (part)	06/04/20	N			
212.						Sold (part)	06/05/20	N			
13.						Sold (part)	06/09/20	N	Е		
14.						Buy (add'l)	06/10/20	P1			
15.						Sold (part)	06/10/20	О	Е		
216.						Buy (add'l)	06/11/20	N			
17.						Sold (part)	06/11/20	О	A		
18.						Buy (add'l)	06/12/20	N			
19.						Buy (add'l)	06/16/20	N			
20.						Sold (part)	06/16/20	О	Е		
21.						Buy (add'l)	06/17/20	О			

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

U =Book Value

N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000  $Q = \!\! Appraisal$ 

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only)

V =Other

B =\$1,001 - \$2,500

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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Name of Person Reporting	Date of Report
Buchwald, Naomi R.	04/15/2021

### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A		В	(	2			D			
	Description of Assets (including trust assets)		me during ting period				Transactio	Transactions during reporting period			
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
222.						Sold (part)	06/17/20	N	С		
223.						Buy (add'l)	06/19/20	0			
224.						Sold (part)	06/19/20	0	D		
225.						Sold (part)	06/22/20	0	D		
226.						Buy	06/24/20	N			
227.						Buy (add'l)	06/25/20	P1			
228.						Sold (part)	06/25/20	О	В		
229.						Sold (part)	06/26/20	О	D		
230.						Buy (add'l)	06/29/20	О			
231.						Sold (part)	06/29/20	P1			
232.						Buy (add'l)	07/02/20	N			
233.						Sold (part)	07/06/20	P1	D		
234.						Buy (add'l)	07/07/20	О			
235.						Sold (part)	07/07/20	О	D		
236.						Buy (add'l)	07/09/20	О			
237.						Sold (part)	07/09/20	О	D		
238.						Buy (add'l)	07/10/20	P1			

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

U =Book Value

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000  $Q = \!\! Appraisal$ 

B =\$1,001 - \$2,500

V =Other

R =Cost (Real Estate Only)  $S = \!\! Assessment$ 

C =\$2,501 - \$5,000 D =\$5,001 - \$15,000 H1 =\$1,000,001 - \$5,000,000 H2 =More than \$5,000,000 L =\$50,001 - \$100,000 M =\$100,001 - \$250,000 P1 =\$1,000,001 - \$5,000,000

W =Estimated

P2 =\$5,000,001 - \$25,000,000 P4 =More than \$50,000,000

T =Cash Market

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Name of Person Reporting	Date of Report
Buchwald, Naomi R.	04/15/2021

#### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets		B Income during reporting period		lue at end	D Transactions during reporting period					
(including trust assets)  Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
9.					Sold (part)	07/10/20	P1			
10.					Buy (add'l)	07/13/20	P1			
н.					Sold (part)	07/13/20	P1			
12.					Buy (add'l)	07/14/20	P1			
13.					Sold (part)	07/14/20	P1			
14.					Buy (add'l)	07/15/20	О			
15.					Sold (part)	07/15/20	О			
46.					Sold (part)	07/17/20	P1			
77.					Buy (add'l)	07/20/20	0			
18.					Buy (add'l)	07/21/20	0			
19.					Sold (part)	07/21/20	О			
50.					Sold (part)	07/23/20	P1			
51.					Buy	07/24/20	M			
52.					Sold (part)	07/27/20	О			
53.					Buy (add'l)	07/29/20	P1			
4.					Sold (part)	07/29/20	P1			
55.					Sold (part)	08/03/20	О			

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000

 $Q = \!\! Appraisal$ 

U =Book Value

B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000

R =Cost (Real Estate Only) V =Other

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

D =\$5,001 - \$15,000

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Name of Person Reporting	Date of Report
Buchwald, Naomi R.	04/15/2021

### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A		В	(	2			D		
	Description of Assets (including trust assets)	Income during Gross value reporting period of reporting		lue at end		Transactio		reporting p	eriod	
	(including trust assets)		(2)		(2)	(1)	(2)	(2)	(4)	(5)
	Place "(X)" after each asset	(1) Amount	Type (e g,	(1) Value	Value	(1) Type (e g ,	(2) Date	(3) Value	(4) Gain	(5) Identity of
	exempt from prior disclosure	Code 1	div, rent,	Code 2	Method	buy, sell,	mm/dd/yy	Code 2	Code 1	buyer/seller
	exempt from prior disclosure	(A-H)	or int )	(J-P)	Code 3	redemption)	IIIII/dd/yy	(J-P)	(A-H)	(if private
			01 1111 )	(0 1)	(Q-W)	redemption		(0 1)	(1111)	transaction)
					( )					,
256.						Buy	08/04/20	P1		
						(add'l)				
257.						Sold	08/04/20	P1		
						(part)				
258.						Sold	08/05/20	N		
						(part)				
259.						Sold	08/07/20	O		
						(part)				
260.						Sold	08/19/20	N		
						(part)				
261.						Sold	08/20/20	N		
						(part)				
262.						Sold	08/26/20	P1		
						(part)				
263.						Sold	09/01/20	M	D	
						(part)	05/01/20			
264.						Buy	09/02/20	P1		
						(add'l)	05/02/20			
265.						Sold	09/04/20	P1		
200.						(part)	07/04/20	11		
266.						Sold	09/15/20	P1		
200.						(part)	09/13/20	L1		
267.						_	09/17/20	P1		
207.						Sold (part)	09/17/20	PI		
269						_	00/21/20	D1		
268.						Buy (add'l)	09/21/20	P1		
260										
269.						Buy (add'l)	09/23/20	P1		
250										
270.						Buy	09/24/20	P1		
						(add'l)				
271.						Sold	10/09/20	N		
						(part)				
272.						Buy	10/26/20	M		
						(add'l)				

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

U =Book Value

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000  $Q = \!\! Appraisal$ 

O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other

B =\$1,001 - \$2,500

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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#### FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Date of Report Buchwald, Naomi R. 04/15/2021

### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets				C Gross value at end Transactions du					riod
(including trust assets)	reporting period		of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
73.					Sold (part)	10/26/20	P1		
74.					Sold (part)	10/27/20	N		
75.					Sold (part)	10/30/20	О		
76.					Buy (add'l)	10/30/20	N		
77.					Buy (add'l)	11/03/20	О		
78.					Sold (part)	11/03/20	N		
79.					Sold (part)	11/04/20	О		
80.					Sold (part)	11/05/20	N	D	
81.					Sold (part)	11/17/20	P1		
82.					Sold (part)	12/04/20	О		
83.					Buy (add'l)	12/14/20	M		
84.					Sold (part)	12/14/20	О		
85.					Sold (part)	12/16/20	P1		
86.					Sold (part)	12/29/20	L		
87. AT&T Common Stock	С	Dividend	L	Т					
88. Apple Common Stock	A	Dividend			Buy	04/09/20	N		
89.					Sold (part)	04/09/20	M	A	

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000

U =Book Value

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000  $Q = \!\! Appraisal$ 

R =Cost (Real Estate Only) V =Other

B =\$1,001 - \$2,500

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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Name of Person Reporting Date of Report Buchwald, Naomi R. 04/15/2021

#### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A		В	(	C			D			
	Description of Assets	Inco	me during				Transactions during reporting period				
	(including trust assets)		ting period		ing period					Î	
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent,	(1) Value Code 2	(2) Value Method	(1) Type (e g , buy, sell,	(2) Date mm/dd/yy	(3) Value Code 2	(4) Gain Code 1	(5) Identity of buyer/seller	
		(1111)	or int )	(J-P)	Code 3 (Q-W)	redemption)		(J-P)	(A-H)	(if private transaction)	
290.						Buy (add'l)	04/15/20	M			
291.						Sold (part)	04/15/20	M	D		
292.						Buy (add'l)	04/16/20	О			
293.						Sold	04/16/20	О	D		
294.						Buy	07/01/20	N			
295.						Buy (add'l)	07/02/20	О			
296.						Sold (part)	07/02/20	N	A		
297.						Sold	07/06/20	О	D		
298.						Buy	07/09/20	N			
299.						Sold	07/09/20	N	A		
300.						Buy	07/10/20	M			
301.						Sold	07/10/20	M	В		
302.						Buy	08/18/20	M			
303.						Sold	08/19/20	M	В		
304.						Buy	08/24/20	N			
305.						Sold	08/31/20	N	D		
306.						Buy	09/10/20	L			

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

 $Q = \!\! Appraisal$ 

U =Book Value

B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000

R =Cost (Real Estate Only) V =Other

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

### Case 1:21-cv-07863-NRB Document 179-1 Filed 06/14/22 Page 22 of 43

### FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Buchwald, Naomi R.	04/15/2021

### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

NONE (No reportable income, a									
A		В	(			m .	D		
Description of Assets (including trust assets)		Income during Gross value at end reporting period of reporting period		Transactions during reporting period				period	
(including trust assets)		(2)		(2)	(1)	(2)	(2)	(4)	(5)
Place "(X)" after each asset	(1) Amount	Type (e g,	(1) Value	Value	(1) Type (e g ,	(2) Date	(3) Value	(4) Gain	(5) Identity of
exempt from prior disclosure	Code 1	div, rent,	Code 2	Method	buy, sell,	mm/dd/yy	Code 2	Code 1	buyer/seller
exempt from prior disclosure	(A-H)	or int )	(J-P)	Code 3	redemption)	IIIII/dd/yy	(J-P)	(A-H)	(if private
		or me )	(3-1)	(Q-W)	redelliption)		(3-1)	(71-11)	transaction)
				(2 11)					transactiony
307.					6.11	00/17/00			
307.					Sold	09/17/20	L		
200					_				
308.					Buy	11/05/20	O		
309.					Sold	12/04/20	O	E	
310.					Buy	12/09/20	O		
311.					Buy	12/10/20	О		
					(add'l)				
312.					Sold	12/10/20	О	D	
					(part)	12/10/20	O		
313.						12/16/20		Б	
313.					Sold	12/16/20	О	Е	
					_		_		
314.					Buy	12/17/20	O		
315.					Sold	12/17/20	N	A	
					(part)				
316.					Sold	12/21/20	N		
317. Applied Materials Common Stock	A	Dividend			Buy	04/02/20	M		
11		Dividend			2,	0 1, 02, 20			
318.					Sold	04/02/20	M	A	
310.					Sold	04/02/20	IVI	A	
210					_				
319.					Buy	04/03/20	K		
320.					Sold	04/03/20	K		
321.					Buy	04/27/20	K		
							<u> </u>		
322.					Sold	04/29/20	K	А	
323.					Buy	05/14/20	N		
					Buy	03/14/20	14		
<del></del>			<u> </u>	<u> </u>	I				<u> </u>

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

U =Book Value

N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 R =Cost (Real Estate Only)  $Q = \!\! Appraisal$ 

V =Other

B =\$1,001 - \$2,500 C =\$2,501 - \$5,000 G =\$100,001 - \$1,000,000 H1 =\$1,000,001 - \$5,000,000 K =\$15,001 - \$50,000 L =\$50,001 - \$100,000 O =\$500,001 - \$1,000,000 P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000  $S = \!\! Assessment$ W =Estimated

D=\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

E=\$15,001 - \$50,000

T =Cash Market

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### FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Date of Report Buchwald, Naomi R. 04/15/2021

VII. IN VESTIVENTS and IRUSIS income, value, transactions (Includes those of spouse and dependent children: see pp. 34-60 of filing instr	. INVESTMENTS and	I TRUSTS	o income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructi
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NONE (No reportable income, assets, or transactions.)

A		В		C	D						
Description of Assets (including trust assets)		me during ting period		lue at end ing period	Transactions during reporting period						
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)		
324.					Sold (part)	05/14/20	N	D			
325.					Buy (add'l)	05/20/20	N				
326.					Sold (part)	05/20/20	M	С			
327.					Buy (add'l)	06/04/20	О				
328.					Sold (part)	06/05/20	N	Е			
329.					Sold	06/09/20	N	D			
330.					Buy	07/01/20	L				
331.					Sold	07/02/20	L	A			
332.					Buy	07/10/20	L				
333.					Sold	07/13/20	L	A			
334. Cisco Common Stock	A	Dividend			Sold	02/24/20	L				
335.					Buy	06/08/20	M				
336.					Sold	06/09/20	M	В			
337.					Buy	06/12/20	L				
338.					Buy (add'l)	06/16/20	L				
339.					Sold	06/16/20	M	С			
340.					Buy	06/17/20	M				

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000

R =Cost (Real Estate Only)  $Q = \!\! Appraisal$ V =Other U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

O =\$500,001 - \$1,000,000

K =\$15,001 - \$50,000

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000  $S = \!\! Assessment$ 

W =Estimated

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

H2 =More than \$5,000,000

D =\$5,001 - \$15,000

### Case 1:21-cv-07863-NRB Document 179-1 Filed 06/14/22 Page 24 of 43

#### FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Date of Report Buchwald, Naomi R. 04/15/2021

### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A		В	C				D		
Description of Assets		ne during	Gross val			Transactio	ons during	reporting	period
(including trust assets)	report	ing period	of reporti	ng period					
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
Place "(X)" after each asset	Amount	Type (e g,	Value	Value	Type (e g ,	Date	Value	Gain	Identity of
exempt from prior disclosure	Code 1	div, rent,	Code 2	Method	buy, sell,	mm/dd/yy	Code 2	Code 1	buyer/seller
	(A-H)	or int )	(J-P)	Code 3	redemption)		(J-P)	(A-H)	(if private
				(Q-W)					transaction)
341.					Davi	06/22/20	M		
371.					Buy (add'l)	06/22/20	IVI		
					(add 1)				
342.					Sold	06/22/20	M		
					(part)				
343.					Sold	06/25/20	M		
					Bold	00/23/20	1,11		
244					_				
344.					Buy	06/26/20	N		
345.					Sold	06/30/20	K	A	
					(part)				
346.					C-14	07/02/20	М		
340.					Sold	07/02/20	M		
347. Ebay Common Stock		None			Buy	07/13/20	L		
348.					Sold	07/23/20	L		
					Bola	01/23/20			
240 E 1 1 C G 1					_				
349. Facebook Common Stock		None			Buy	04/09/20	M		
350.					Sold	04/09/20	M	В	
351.					Duv	07/09/20	M		
331.					Buy	07/03/20	IVI		
352.					Sold	07/09/20	M	В	
353.					Buy	07/10/20	M		
354.					0.11	07/10/20		D	
337.					Sold	07/10/20	M	В	
355. Home Depot Common Stock		None			Buy	02/19/20	M		
356.					Sold	02/20/20	M	В	
						22, 20, 20		-	
257					<b>D</b>	05/00/00			
357.					Buy	05/20/20	N		

1 Income Gain Codes: (See Columns B1 and D4) 2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

U =Book Value

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000  $Q = \!\! Appraisal$ 

V =Other

B =\$1,001 - \$2,500 C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 R =Cost (Real Estate Only)

P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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#### FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Date of Report Buchwald, Naomi R. 04/15/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets		B ne during		lue at end	D Transactions during reporting period					
(including trust assets)  Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
58.					Sold	05/20/20	N	С		
59.					Buy	05/21/20	N			
60.					Sold	05/21/20	N	В		
61.					Buy	05/26/20	N			
62.					Sold (part)	05/27/20	M	В		
63.					Sold	05/28/20	M	С		
64.					Buy	05/28/20	M			
65.					Sold	06/02/20	N	С		
56.					Buy	06/26/20	M			
67.					Sold	06/29/20	M	С		
68.					Buy	07/01/20	M			
69.					Sold	07/02/20	M	A		
70.					Buy	07/13/20	M			
71.					Sold	07/13/20	M	В		
72.					Buy	09/01/20	О			
73.					Sold	09/01/20	О	В		
74.					Buy	10/09/20	M			

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000

 $Q = \!\! Appraisal$ 

U =Book Value

B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000

R =Cost (Real Estate Only) V =Other

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

04/15/2021

### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A		В		2		D					
Description of Assets (including trust assets)		me during ting period		lue at end ing period	Transactions during reporting period						
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)		
75.					Sold	10/09/20	M	A			
376.					Buy	12/14/20	N				
377.					Buy (add'l)	12/15/20	M				
778.					Sold	12/15/20	N	С			
379.					Buy	12/16/20	N				
380.					Sold	12/16/20	N	A			
881. IBM Common Stock	A	Dividend			Buy	03/19/20	N				
382.					Sold (part)	03/25/20	K	С			
183.					Sold (part)	05/14/20	M	В			
384.					Sold (part)	05/20/20	M	A			
85.					Sold	06/30/20	M	В			
386.					Buy	07/01/20	L				
887.					Buy (add'l)	07/02/20	M				
388.					Sold (part)	07/02/20	M	A			
89.					Sold (part)	07/09/20	M				
990.					Buy (add'l)	09/01/20	M				
391.					Sold (part)	09/04/20	L	A			

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2) A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000

J =\$15,000 or less K =\$15,001 - \$50,000 N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000

| P3 = 322,000,001 - \$50,000,000 | | R = Cost (Real Estate Only) | U = Book Value | V = Other |

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000

P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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#### FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Buchwald, Naomi R.	04/15/2021

### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets	Incor	B ne during	Gross va	C lue at end		Transactio	D ons during	reporting pe	riod
(including trust assets)		ing period		ing period		Tunbuch	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	, reporting pe	1104
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
92.					Sold (part)	09/08/20	L		
93.					Sold	12/18/20	J	A	
94. Intel Common Stock	A	Dividend			Buy (add'l)	01/03/20	M		
95.					Sold (part)	01/03/20	M		
96.					Buy (add'l)	01/08/20	L		
97.					Sold (part)	01/08/20	L	A	
98.					Buy (add'l)	01/10/20	L		
99.					Buy (add'l)	01/16/20	L		
00.					Sold (part)	01/23/20	M	D	
01.					Buy (add'l)	02/13/20	N		
02.					Sold (part)	02/13/20	N	D	
03.					Buy (add'l)	02/14/20	N		
04.					Sold (part)	02/14/20	N	A	
05.					Buy (add'l)	02/18/20	M		
06.					Sold (part)	02/19/20	M		
07.					Buy (add'l)	02/24/20	M		
08.					Sold (part)	01/27/20	M		

1 Income Gain Codes: (See Columns B1 and D4) 2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

U =Book Value

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000  $Q = \!\! Appraisal$ 

R =Cost (Real Estate Only) V =Other

B =\$1,001 - \$2,500

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

D=\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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#### FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Date of Report Buchwald, Naomi R. 04/15/2021

### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

TYONE (IVO reportable income, us.	,								
A		В	C				D		
Description of Assets (including trust assets)		me during ting period	Gross val of reporti			Transactio	ons during	reporting	period
(including trust assets)					(1)	(2)	(2)	(4)	(5)
Place "(X)" after each asset	(1) Amount	(2) Type (e g ,	(1) Value	(2) Value	(1) Type (e g ,	(2) Date	(3) Value	(4) Gain	(5) Identity of
exempt from prior disclosure	Code 1	div, rent,	Code 2	Method	buy, sell,	mm/dd/yy	Code 2	Code 1	buyer/seller
enempt from prior discressare	(A-H)	or int )	(J-P)	Code 3	redemption)	iiiiii da/yy	(J-P)	(A-H)	(if private
				(Q-W)	1 /				transaction)
409.					Sold	02/27/20	L	D	
					(part)		_	_	
410.					Sold	02/28/20	M		
					Bola	02/20/20	.,,		
411.					Buy	03/10/20	L		
					Buy	03/10/20			
412.					Sold	03/19/20	K		
					Solu	03/17/20	IX		
413.					Duv	03/30/20	M		
413.					Buy	03/30/20	IVI		
414.					D.	04/00/20			
414.					Buy (add'l)	04/08/20	M		
415									
415.					Sold	04/08/20	L		
416					(part)				
416.					Sold	04/15/20	N		
					(part)				
417.					Buy	04/16/20	N		
					(add'l)				
418.					Sold	04/16/20	O		
419.					Buy	04/21/20	M		
420.					Sold	04/21/20	M		
					(part)				
421.					Buy	04/28/20	K		
					(add'l)				
422.					Buy	05/14/20	О		
					(add'l)				
423.					Sold	05/14/20	О		
					(part)				
424.					Buy	05/20/20	О		
					(add'l)		-		
425.					Sold	05/20/20	0	С	
					(part)	03/20/20			
						I	I	1	Į.

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

U =Book Value

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000  $Q = \!\! Appraisal$ 

R =Cost (Real Estate Only) V =Other

B =\$1,001 - \$2,500

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000 E=\$15,001 - \$50,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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#### FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Date of Report Buchwald, Naomi R. 04/15/2021

### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

			<b>.</b>		~			-		
	A Description of Assets	Incor	B me during	Gross va	C lue at end		Transactio	D one durino	reporting	period
	(including trust assets)		ting period		ng period		Transactio	nis during	, reporting	period
	(	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Place "(X)" after each asset	Amount	Type (e g ,	Value	Value	Type (e g ,	Date	Value	Gain	Identity of
	exempt from prior disclosure	Code 1	div, rent,	Code 2	Method	buy, sell,	mm/dd/yy	Code 2	Code 1	buyer/seller
		(A-H)	or int )	(J-P)	Code 3	redemption)		(J-P)	(A-H)	(if private
					(Q-W)					transaction)
426.						Buy	06/04/20	N		
						(add'l)				
427.						Sold	06/04/20	N		
						(part)				
428.						Buy	06/05/20	N		
.20.						(add'l)	00/03/20	11		
429.							06/05/20	N.T.		
429.						Sold (part)	06/05/20	N		
430.						Buy	06/08/20	O		
						(add'l)				
431.						Sold	06/10/20	O	В	
						(part)				
432.						Buy	06/17/20	О		
						(add'l)				
433.						Sold	06/17/20	N	В	
						(part)				
434.						Buy	06/19/20	О		
151.						(add'l)	00/19/20	O		
435.							06/10/20			
433.						Sold (part)	06/19/20	L		
436.						Sold	06/22/20	O		
						(part)				
437.						Sold	07/06/20	L		
						(part)				
438.						Sold	07/07/20	K		
439.						Buy	07/09/20	M		
						,	52, 23	-/-		
440.						Sold	07/09/20	M	A	
710.						Solu	01/09/20	171	A	
441							07/17/20			
441.						Buy	07/17/20	N		
442.						Sold	07/22/20	M		
					1	(part)	1		1	

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

U =Book Value

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000  $Q = \!\! Appraisal$ 

R =Cost (Real Estate Only) V =Other

B =\$1,001 - \$2,500

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

D =\$5,001 - \$15,000

T =Cash Market

### Case 1:21-cv-07863-NRB Document 179-1 Filed 06/14/22 Page 30 of 43

#### FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Date of Report Buchwald, Naomi R. 04/15/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets		B ne during	Gross va	C lue at end		Transactio	D ons during	reporting pe	riod
(including trust assets)	report (1)	ing period (2)	of reporti	ing period (2)	(1)	(2)	(3)	(4)	(5)
Place "(X)" after each asset exempt from prior disclosure	Amount Code 1 (A-H)	Type (e g , div , rent, or int )	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
43.					Sold	07/23/20	L		
44.					Buy	10/12/20	L		
45.					Sold	10/16/20	L	A	
46.					Buy	11/06/20	M		
47.					Sold	11/06/20	M		
48. Johnson & Johnson Common Stock	С	Dividend			Buy	03/30/20	L		
49.					Buy (add'l)	04/15/20	M		
50.					Buy (add'l)	04/16/20	M		
51.					Sold (part)	04/16/20	M	С	
52.					Buy (add'l)	05/01/20	M		
53.					Sold (part)	05/01/20	L	D	
154.					Sold (part)	05/05/20	K	A	
55.					Buy (add'l)	05/14/20	L		
56.					Buy (add'l)	06/30/20	M		
57.					Sold (part)	06/30/20	L	В	
58.					Sold (part)	07/01/20	L	В	
59.					Buy (add'l)	07/09/20	О		

1 Income Gain Codes: (See Columns B1 and D4) 2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000

 $Q = \!\! Appraisal$ 

U =Book Value

B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000

R =Cost (Real Estate Only) V =Other

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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#### FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Date of Report Buchwald, Naomi R. 04/15/2021

### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A		В		C	D					
Description of Assets (including trust assets)		me during ting period		lue at end ing period		Transactio	ons during	reporting pe	riod	
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
60.					Sold (part)	07/09/20	0	С		
61.					Buy (add'l)	07/10/20	L			
162.					Sold (part)	07/10/20	L	A		
63.					Sold (part)	08/04/20	M			
164.					Sold (part)	08/31/20	M	D		
665.					Sold	09/01/20	L	A		
466. Lowes Common Stock	A	Dividend			Buy (add'l)	01/10/20	L			
167.					Sold	01/23/20	M	В		
68.					Buy	02/13/20	М			
69.					Sold	02/13/20	M	A		
770.					Buy	02/19/20	М			
171.					Sold	02/19/20	M	A		
172.					Buy	05/14/20	M			
173.					Sold	05/14/20	М	В		
174.					Buy	05/20/20	M			
775.					Sold (part)	05/20/20	М	A		
176.					Sold	05/21/20	M	С		

1 Income Gain Codes: (See Columns B1 and D4) 2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000

 $Q = \!\! Appraisal$ 

U =Book Value

B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 P3 =\$25,000,001 - \$50,000,000

V =Other

O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

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Name of Person Reporting	Date of Report
Buchwald, Naomi R.	04/15/2021

### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

TOTAL (No reportable income, as	,		~-/						
A		В	C				D		
Description of Assets		ne during	Gross val			Transactio	ons during	reporting	period
(including trust assets)		ing period	of reporti						
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
Place "(X)" after each asset	Amount	Type (e g,	Value	Value	Type (e g,	Date	Value	Gain	Identity of
exempt from prior disclosure	Code 1	div, rent,	Code 2	Method	buy, sell,	mm/dd/yy	Code 2	Code 1	buyer/seller
	(A-H)	or int )	(J-P)	Code 3	redemption)		(J-P)	(A-H)	(if private
				(Q-W)					transaction)
,			1			1			
477.					Buy	06/19/20	M		
478.					Buy	06/22/20	N		
					(add'l)				
479.					Sold	06/29/20	M		
177.					(part)	00/29/20	IVI		
100									
480.					Sold	07/01/20	M	A	
					(part)				
481.					Buy	07/13/20	L		
					(add'l)				
482.					Sold	07/13/20	L	Α	
					(part)	07715720			
483.						07/15/00			
463.					Sold	07/15/20	L	В	
484.					Buy	09/14/20	M		
485.					Sold	09/15/20	M	В	
486.					Buy	10/13/20	M		
					_ = = = 5	10,10,10			
487.					Sold	10/15/20	L	A	
407.					(part)	10/13/20	L	A	
100									
488.					Buy	11/09/20	M		
,					(add'l)				
489.					Sold	11/18/20	L		
					(part)				
490.					Sold	12/21/20	M		
							· <del>-</del>		
491. Match Group Inc. Common Stock		None			Buy	12/17/20	L		
171. Materi Group Inc. Common Stock		none			Биу	12/1//20	L		
402									
492.					Sold	12/18/20	L	A	
493. Micron Technology Common Stock		None			Buy	07/01/20	M		

1 Income Gain Codes: (See Columns B1 and D4) 2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

U =Book Value

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000  $Q = \!\! Appraisal$ 

R =Cost (Real Estate Only) V =Other

B =\$1,001 - \$2,500

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000  $S = \!\! Assessment$ 

W =Estimated

H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

D=\$5,001 - \$15,000

T =Cash Market

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#### FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Date of Report Buchwald, Naomi R. 04/15/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets	B Income during reporting period			C lue at end		D  Transactions during reporting period					
(including trust assets)			of reporting period		Transactions during reporting period						
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)		
94.					Sold (part)	07/06/20	M				
95.					Buy (add'l)	07/06/20	M				
96.					Sold	07/09/20	M	В			
97.					Buy	07/10/20	N				
98.					Sold	07/10/20	N				
99. Microsoft Common Stock	В	Dividend			Buy	01/03/20	N				
00.					Sold (part)	01/03/20	M				
01.					Sold (part)	01/08/20	M	A			
02.					Buy (add'l)	01/30/20	N				
03.					Sold (part)	02/14/20	M	D			
04.					Sold (part)	02/19/20	N	D			
05.					Buy (add'l)	02/20/20	N				
06.					Sold (part)	02/20/20	N	A			
07.					Sold	02/27/20	M	D			
08.					Buy	03/20/20	M				
09.					Sold	03/25/20	M	D			
10.					Buy	06/24/20	N				

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

U =Book Value

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000  $Q = \!\! Appraisal$ 

R =Cost (Real Estate Only) V =Other

B =\$1,001 - \$2,500

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P4 =More than \$50,000,000

P1 =\$1,000,001 - \$5,000,000

W =Estimated

 $S = \!\! Assessment$ 

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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Name of Person Reporting	Date of Report
Buchwald, Naomi R.	04/15/2021

### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A	B Income during reporting period			C		D					
	Description of Assets (including trust assets)				lue at end ing period	Transactions during reporting period						
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)		
511.						Buy (add'l)	06/25/20	0				
512.						Sold (part)	06/25/20	0	В			
513.						Buy (add'l)	06/26/20	N				
514.						Sold (part)	06/29/20	N				
515.						Sold (part)	07/01/20	M	A			
516.						Buy (add'l)	07/06/20	L				
517.						Sold (part)	07/09/20	M	A			
518.						Sold (part)	07/10/20	M				
519.						Sold	07/27/20	M	A			
520.						Buy	08/28/20	N				
521.						Sold (part)	08/28/20	М	В			
522.						Sold	09/02/20	M	В			
523.						Buy	09/04/20	О				
524.						Sold (part)	09/04/20	О	D			
525.						Sold	09/11/20	M				
526.						Buy	10/28/20	M				
527.						Sold (part)	10/29/20	M	A			

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

U =Book Value

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000  $Q = \!\! Appraisal$ 

R =Cost (Real Estate Only) V =Other

B =\$1,001 - \$2,500

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

D=\$5,001 - \$15,000 H2 =More than \$5,000,000 E=\$15,001 - \$50,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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#### FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Date of Report Buchwald, Naomi R. 04/15/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A		В	(	C	D Transactions during reporting period					
	Description of Assets	Inco	me during		lue at end						
	(including trust assets)	repor	ting period	of reporti	ing period						
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Place "(X)" after each asset	Amount	Type (e g,	Value	Value	Type (e g ,	Date	Value	Gain	Identity of	
	exempt from prior disclosure	Code 1 (A-H)	div, rent,	Code 2	Method	buy, sell,	mm/dd/yy	Code 2	Code 1	buyer/seller	
		(A-II)	or int )	(J-P)	Code 3	redemption)		(J-P)	(A-H)	(if private	
					(Q-W)					transaction)	
520											
528.						Buy	10/29/20	M			
						(add'l)					
529.						Sold	11/04/20	M	D		
530. I	Netflix Common Stock		None			Buy	07/13/20	O			
531.						Buy	07/17/20	N			
						(add'l)					
532.						Sold	07/17/20	N			
						(part)					
533.						Buy	07/20/20	0			
						(add'l)					
534.						Sold	07/21/20	M			
						(part)	07/21/20	141			
535.							07/04/00	3.4			
333.						Sold (part)	07/24/20	M			
536.						Sold	07/27/20	M			
						(part)					
537.						Buy	07/30/20	M			
						(add'l)					
538.						Sold	07/30/20	M			
						(part)					
539.						Sold	08/03/20	N			
						(part)	00,00,20	- 1			
540.						Buy	08/10/20	P1			
540.						(add'l)	06/10/20	гі			
541.							00/10/00	1.5			
J41.						Buy (add'l)	08/12/20	M			
540											
542.						Sold	08/13/20	K			
						(part)					
543.						Sold	08/14/20	N			
						(part)					
544.						Sold	08/17/20	N			
						(part)					

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

U =Book Value

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000  $Q = \!\! Appraisal$ 

R =Cost (Real Estate Only) V =Other

B =\$1,001 - \$2,500

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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#### FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Date of Report Buchwald, Naomi R. 04/15/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets	Ingo	B me during	Grass vo	C lue at end		D Transactions during reporting period					
(including trust assets)		reporting period		ing period	transactions during reporting period						
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)		
45.					Sold (part)	08/18/20	N				
46.					Sold (part)	08/19/20	M				
47.					Sold (part)	08/20/20	M	С			
48.					Buy (add'l)	09/01/20	N				
49.					Sold (part)	09/01/20	M				
50.					Sold (part)	09/02/20	N				
51.					Sold (part)	09/04/20	N				
52.					Sold (part)	09/08/20	M				
53.					Sold (part)	09/15/20	M				
54.					Sold (part)	09/17/20	M				
55.					Sold (part)	09/18/20	M				
56.					Sold (part)	10/09/20	M				
57.					Sold (part)	10/21/20	О				
58.					Sold (part)	10/29/20	M				
59.					Sold	11/03/20	О				
60. Nvidia Corp. Common Stock		None			Buy	08/21/20	L				
61.					Sold	08/21/20	L	A			

1 Income Gain Codes: (See Columns B1 and D4) 2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

U =Book Value

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000  $Q = \!\! Appraisal$ 

R =Cost (Real Estate Only) V =Other

B =\$1,001 - \$2,500

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

M =\$100,001 - \$250,000

T =Cash Market

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

P2 =\$5,000,001 - \$25,000,000

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Name of Person Reporting Date of Report Buchwald, Naomi R. 04/15/2021

#### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets)	B Income during reporting period		Income during Gross value at end		D Transactions during reporting period					
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g, div, rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	

		1	1	T				1
562. Peloton Interactive Common Stock	None			Buy	12/17/20	L		
563.				Buy (add'l)	12/18/20	M		
564.				Sold	12/18/20	N	С	
565. Pfizer Common Stock	None	M	Т	Buy	07/01/20	K		
566.				Sold	07/02/20	K	A	
567.				Buy	07/09/20	L		
568.				Sold	07/09/20	L	A	
569.				Buy	11/06/20	L		
570.				Sold	11/06/20	L	A	
571.				Buy	11/20/20	N		
572.				Sold (part)	12/04/20	M	D	
573.				Sold (part)	12/10/20	M	Е	
574. Regeneron Pharms Common Stock	None			Buy	07/10/20	О		
575.				Sold (part)	07/13/20	M	В	
576.				Sold (part)	07/14/20	N	D	
577.				Sold	08/27/20	N	В	
578.				Buy	08/28/20	M		

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

 $Q = \!\! Appraisal$ 

U =Book Value

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000

R =Cost (Real Estate Only) V =Other

B =\$1,001 - \$2,500

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000

E=\$15,001 - \$50,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

### Case 1:21-cv-07863-NRB Document 179-1 Filed 06/14/22 Page 38 of 43

#### FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Date of Report Buchwald, Naomi R. 04/15/2021

### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	NONE (No reportable income, as.										
	A		В	(				D			
	Description of Assets (including trust assets)		me during ting period		lue at end ing period	Transactions during reporting period					
	(including trust assets)	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Place "(X)" after each asset	Amount	Type (e g,	Value	Value	Type (e g ,	Date	Value	Gain	Identity of	
	exempt from prior disclosure	Code 1	div, rent,	Code 2	Method	buy, sell,	mm/dd/yy	Code 2	Code 1	buyer/seller	
		(A-H)	or int )	(J-P)	Code 3	redemption)	min da y y	(J-P)	(A-H)	(if private	
					(Q-W)	1 /				transaction)	
579.						Sold	08/31/20	M	В		
580.						Buy	09/01/20	N			
581.						Sold	09/02/20	N	С		
582.						Buy	09/09/20	M			
583.						Sold	09/15/20	M	A		
584.						Buy	10/05/20	О			
585.						Buy	10/07/20	N			
						(add'l)					
586.						Sold (part)	10/16/20	N			
587.						Buy	10/26/20	N			
						(add'l)					
588.						Sold (part)	11/03/20	N			
589.						Sold	11/09/20	M			
						(part)					
590.						Sold (part)	12/04/20	M			
591.						Sold	12/23/20	N			
592. R	oku Common Stock		None			Buy	11/04/20	M			
593.						Sold	11/04/20	M	С		
594.						Buy	12/14/20	M			
595.						Buy (add'l)	12/17/20	M			

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000

U =Book Value

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000  $Q = \!\! Appraisal$ 

R =Cost (Real Estate Only) V =Other

B =\$1,001 - \$2,500

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

### Case 1:21-cv-07863-NRB Document 179-1 Filed 06/14/22 Page 39 of 43

#### FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Date of Report Buchwald, Naomi R. 04/15/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets	Incor	B me during		C lue at end	D  Transactions during reporting period					
(including trust assets)	reporting period			ing period	Transactions during reporting period					
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
96.					Sold (part)	12/18/20	M	С		
97.					Buy (add'l)	12/21/20	M			
98.					Sold (part)	12/21/20	N	Е		
99.					Buy (add'l)	12/22/20	M			
00.					Sold	12/22/20	M	В		
01. Stanley Black & Decker Common Stock	A	Dividend			Buy	02/20/20	K			
02.					Buy (add'l)	02/24/20	L			
03.					Sold (part)	03/25/20	K			
04.					Sold	03/27/20	K			
05.					Buy	05/28/20	L			
06.					Sold	06/02/20	L	A		
07.					Buy	06/23/20	L			
08.					Sold	06/30/20	L	В		
09.					Buy	07/01/20	L			
10.					Sold	07/02/20	L	В		
11.					Buy	09/15/20	K			
12.					Sold	09/15/20	K	A		

1 Income Gain Codes: (See Columns B1 and D4) 2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000

U =Book Value

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000 R =Cost (Real Estate Only)  $Q = \!\! Appraisal$ 

B =\$1,001 - \$2,500

V =Other

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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#### FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Date of Report Buchwald, Naomi R. 04/15/2021

### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A		В	(	C			D				
	Description of Assets	Income during		Gross va	lue at end		Transactions during reporting period					
	(including trust assets)	repor	ting period	of report	ing period							
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)		
	Place "(X)" after each asset	Amount	Type (e g,	Value	Value	Type (e g ,	Date	Value	Gain	Identity of		
	exempt from prior disclosure	Code 1	div, rent,	Code 2	Method	buy, sell,	mm/dd/yy	Code 2	Code 1	buyer/seller		
		(A-H)	or int )	(J-P)	Code 3	redemption)		(J-P)	(A-H)	(if private		
					(Q-W)					transaction)		
612												
613.						Buy	12/16/20	L				
<u></u>												
614.						Sold	12/16/20	L	A			
615. T	esla Inc. Common Stock		None			Buy	07/21/20	N				
616.						Sold	07/21/20	N	С			
617.						Buy	08/12/20	О				
						,						
618.						Sold	08/13/20	0	Е			
619.						Buy	11/04/20	M				
620.						Buy	11/17/20	M				
						(add'l)						
621.						Sold	11/17/20	N	D			
						(part)		- '				
622.						Sold	11/18/20	0	D			
						2014	11,10,20	Ü				
623.						Buy	12/18/20	N				
						Buy	12/10/20	.,				
624.						Sold	12/18/20	N	D			
						Solu	12/10/20	.,				
625. V	Valt Disney Co. Common Stock		None			Buy	07/13/20	M				
	,		1,0110			Duy	37,13/20	141				
626.						Sold	07/13/20	M	A			
						Sold	37/13/20	141	'1			
627. 7	Zoom Video Communication Common		None	M	Т	Buy	11/05/20	M				
	tock		TOHE	141	1	Buy	11/03/20	141				
628.						Sold	11/12/20	K				
						(part)	11/12/20	11				
629.						Buy	11/16/20	M				
J27.						(add'l)	11/10/20	171				

1 Income Gain Codes: (See Columns B1 and D4) 2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

 $Q = \!\! Appraisal$ 

U =Book Value

B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000

R =Cost (Real Estate Only) V =Other

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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Name of Person Reporting Date of Report Buchwald, Naomi R. 04/15/2021

### VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	_			,								
	A Description of Assets (including trust assets)		B me during ting period		lue at end	D Transactions during reporting period						
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)		
630.						Buy (add'l)	11/20/20	M				
631.						Buy (add'l)	11/23/20	M				
632.						Sold (part)	12/04/20	М				
633.						Sold (part)	12/14/20	М				
634.						Sold (part)	12/21/20	N				
635.						Sold (part)	12/22/20	М				
636. Capita	l One Account	С	Interest	М	Т							
637. Bank A	America Account	A	Int./Div.	J	Т							
638.												
639.												

 $Q = \!\! Appraisal$ 

U =Book Value

V =Other

 $S = \!\! Assessment$ 

W =Estimated

T =Cash Market

D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

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Name of Person Reporting	Date of Report
Buchwald, Naomi R.	04/15/2021

#### IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Naomi R. Buchwald

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544